

DT05 Rec'd PCT/PTO 29 AUG 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



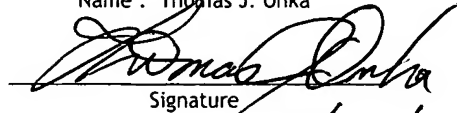
APPLICANTS	Mark Van Roon and John Booth
TITLE	"METHOD AND APPARATUS FOR MULTI-CURRENCY FUNDS SETTLEMENT"
U.S. SERIAL NUMBER	10/088,328
INTERNATIONAL APPLICATION NO.	PCT/GB00/03511
INTERNATIONAL FILING DATE	13 September 2000
PRIORITY DATE	13 September 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of Deposit : 8/23/02

Name : Thomas J. Onka

  
Signature

Date of Signature : 8/23/02

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

In response to a Notice to File Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office mailed June 28, 2002, Applicants hereby submit the following:

1. Declaration and Power of Attorney, consisting of six (6) pages, signed by all the inventors. An unsigned Declaration was submitted with the filing of this application. Attorney for Applicants states that the above referenced application filed with the U.S. Patent and Trademark Office on March 13, 2002 is the application that the inventors executed by signing the attached declarations;

2. Check in the amount of \$65.00 to cover the surcharge for the late filing of a Declaration; and,

3. Copy of Notification of Missing Requirements Under 35 USC 371 mailed June 28, 2002.

Kindly have these forms made a part of Applicants' file.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-3040.

09/04/2002 MKAYPAGH 00000019 10088328

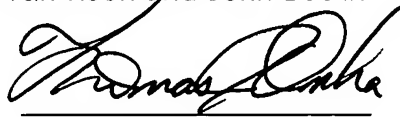
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65.00 DP

Respectfully submitted,

Mark Van Roon and John Booth

By



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UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/088,328	Mark Van Roon	5035-118US

INTERNATIONAL APPLICATION NO.
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PCT/GB00/03511

I.A. FILING DATE	PRIORITY DATE
09/13/2000	09/13/1999

 Richard C Woodbridge  
 Woodbridge & Associates  
 PO Box 592  
 Princeton, NJ 08542

CONFIRMATION NO. 2892

371 FORMALITIES LETTER



\*OC000000008352540\*

Date Mailed: 06/28/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of the International Application
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 305-3688

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/088,328	PCT/GB00/03511	5035-118US

FORM PCT/DO/EO/905 (371 Formalities Notice)